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# *Treaty Times*

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*May 2005*

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Volume IV



## **Captain's Corner**

This is the last time that I will have the pleasure of contributing to the Treaty Times. I am retiring this summer at the conclusion of an extremely rewarding career of government service during which I had the honor and privilege to serve as the Acting Director of the Naval Treaty Implementation Program (NTIP). As I leave active government service, I would like to tell everyone what a pleasure it has been serving you as the Deputy Director for more than a decade. I am proud to say that during this period we have established an arms control implementation and compliance program for the Department of the Navy that is a model for effectiveness within the Department of Defense.

This July, Captain Robert J. Vince will report as the Director, NTIP. The Navy and Marine Corps could not be more fortunate than to have an officer of CAPT Vince's dedication, capability, and background leading the arms control implementation and compliance effort. He has an

undergraduate degree in Applied Mathematics from Tulane and his dual Master of Science degrees in Electrical Engineering and Ordnance Engineering (Missile Systems) from the Naval Postgraduate School were both awarded "with distinction." CAPT Vince has an extensive arms control background, having served as the arms control coordinator within Strategic Systems Programs and as the Navy single point of contact for compliance with the Strategic Arms Reduction Treaty (START). He coordinated a complete set of START baseline inspections for nine Navy facilities, and he served with the Joint Staff team on three U.S. delegations to the START Joint Compliance and Inspection Committee in Geneva. CAPT Vince has an exceptional program management background, and I know that he will do a splendid job in this assignment for the Department of the Navy.

Thomas Skrobala  
Acting Director  
Naval Treaty Implementation Program

## **NTIP and ACTDs**

Since 2002, the Naval Treaty Implementation Program (NTIP) has been working with the Office of Naval Research (ONR) to ensure that all potential Navy Advanced Concept Technology Demonstration (ACTD) candidates are compliant with the relevant arms control treaties and agreements of the United States. In June 2004, ASN(RDA) issued a memorandum on FY06 ACTD Proposals, which stated that all ACTD proposals that could involve DON equities must be reviewed by NTIP and an Arms Control Compliance Assessment (ACCA) written that evaluates each program in terms of its compliance with arms control agreements.

In November 2004 and January 2005, NTIP representatives attended the FY06 ACTD Navy Review Panels (NRPs) at ONR where sponsors briefed potential

ACTD candidate programs to the panel. Following these NRPs, NTIP obtained copies of the briefs presented and used them as the basis for compliance assessments. In total, nine potential ACTD candidate programs were assessed for compliance. NTIP found no specific potential for arms control implications with any candidate.

NTIP would like to thank ONR, specifically Mr. John Barkley and Mr. Joseph Stull, for their cooperation with NTIP throughout the entire ACTD process. The purpose of NTIP's involvement is to identify potential arms control treaty implications as early as possible in any program's initial phases. If an implication is identified, NTIP will work closely with the program manager to inform him/her of this implication and resolve any potential problems before an exorbitant amount of time and resources are squandered.

Furthermore, NTIP's interaction with ONR in the ACTD review process has led to opportunities for NTIP representatives to brief others within ONR. Recently, NTIP briefed Dr. Stephen Lawrence (ONR 36) on NTIP's role within the DON, and specifically how NTIP could be of service to ONR. On 10 March, 2005, NTIP provided the Johns Hopkins University Applied Physics Laboratory with an informational brief on arms control treaty compliance.

NTIP, as the instrument for the DON treaty compliance, is funded and staffed with treaty experts with a vast array of resources and links to program managers for the purpose of addressing arms control treaty obligations for all elements of the DON. The NTIP webpage with links to a myriad of information on treaties and programs can be found at:

<http://www.nawcwpns.navy.mil/~treaty/>.

### **TIA/GEMI**

Over the next few months NTIP will scrub the Transparency in Armaments (TIA) submission process to improve and streamline it. DoD is changing the data submission system and this is a good time for NTIP to review and revise our procedures.

If your command/organization imports any of the following equipment, and you do not receive an annual data submission request letter from NTIP, please let us know: battle tanks, armored combat vehicles, large caliber artillery systems, combat aircraft, attack helicopters, warships, missiles (including MANPADS) and missile launchers. An import is defined as all acquisition of military equipment in which the U.S. obtained title to the equipment from a foreign government, regardless of the end use of the equipment. For more information, please call 202-764-0910.

### **U.S. – IAEA Additional Protocol: The Way Forward**



The Additional Protocol (AP) to the U.S.-International Atomic Energy Agency (IAEA) Nuclear Safeguards Agreement has taken several steps closer to entering the planning and implementation phase for entry into

force the latter part of calendar year 2005 (December). NTIP is developing an outreach plan to address two key components of DON's planning and preparations for compliance and implementation of the US-IAEA AP. This outreach plan consists of: 1) a series of educational sessions at the Systems Commands-level, and 2) a DON-wide data call.

Within the next 90 days, NTIP will begin contacting DON SYSCOMs to conduct outreach and education on the AP and potential impacts for the DON. These initial informational sessions will primarily be directed towards the contracting and security offices, as a catalyst to those most likely be intimately involved in the compliance and implementation of the AP at/for DON facilities and programs. These sessions will cover topics such as general information about the AP, impacts of the AP on the DON, the completion of vulnerability assessments to protect DON interests, the application of a National Security Exclusion (NSE), and managed access requirements during AP implementation. In conjunction with these training sessions, NTIP will conduct a DON-wide data call. The purpose of the data call is to determine the level of work conducted in the DON related to the nuclear fuel cycle.

Additionally, NTIP will survey the SYSCOMs, PEOs, and PMs to obtain information about programs, activities, and facilities that may be impacted by the AP. The data call would include questions such as: 1) Are you involved with, do you sponsor, or do you fund any programs related to nuclear fuel cycle research and development, import/export of nuclear material in quantities over 10 metric tons of uranium or 20 metric tons of thorium; and 2) Are you a consumer of, or engaged in, a contractual relationship with any of the facilities, programs or activities made eligible for inspection. NTIP will provide the necessary resources and support to enable the data call recipients to sufficiently answer these questions. NTIP will then compile and assess the results of the data call in order to assist those DON facilities, activities, and programs that may be impacted to fully prepare for and implement the AP.

In the coming months NTIP will begin scheduling information sessions on the AP and disseminating AP data call material. If you have any questions regarding the US-IAEA AP or DON's approach to compliance and implementation preparations, please contact NTIP at (202) 764-0910 DSN 764-0910 or by email at [NTIP@ssp.navy.mil](mailto:NTIP@ssp.navy.mil).

### **Open Skies Overflights over the United States**

The Russian Federation has two Open Skies flight quotas over the US for CY2005 and is expected to conduct their missions in the second and third quarters. The Russians have asked several questions about Hawaii and the East Coast so we expect that they may overfly those areas next. The January Blue-on-Blue training exercise over Hawaii was conducted to prepare for the anticipated upcoming Russian mission.

## **TOP TEN RESPONDERS FOR THE JANUARY BLUE-ON-BLUE**

Congratulations to the Top Ten Responders for the General Alert message, reference number 181605:

1. NAVAIRWPNSTA CHINA LAKE CA
2. NAVWPNSTA SEAL BEACH CA
3. NAVUNSEAWARCENDIV KEYPORT WA
4. FACSAC PENSACOLA FL
5. COMNAVSPECWARGRU ONE
6. NAVSURFWARCENDIV CRANE IN
7. SURFCOMBATSYSCEN WALLOPS ISLAND VA
8. CG MCB CAMP LEJEUNE NC
9. NAVSHIPYD AND IMF PUGET SOUND WA
10. NAVAIRWARCENWPNDIV PT MUGU CA

We are preparing to do an Echelon II data call in June. This data call will verify command information as well as confirm the notification list. Local commands may get a data request from their Echelon II commanders. Please be on the lookout for your package this summer and respond as quickly as possible. This information is vital to ensure proper and timely notifications of Open Skies overflights.

We mailed our Open Skies Interactive Training CD-ROM to every command in the Open Skies database last fall. If you did not get your copies or would like additional copies, please email a request to [ntip@ssp.navy.mil](mailto:ntip@ssp.navy.mil).

If your command is interested in Open Skies training, we will come to your facility at no cost to you and conduct the training. Please call 202-764-0910 for more information or to get on the training schedule.

## **Chemical Weapons Convention**

A recently signed SECNAVINST 5710.XX, "Department of the Navy Readiness for Challenge Inspections under the Chemical Weapons Convention (CWC)," once promulgated, establishes the Department's policy regarding readiness for CWC challenge inspections. It also describes the roles and responsibilities of Navy and Marine Corps Commanding Officers for not only the routine conduct of operations, control of access, safety of visitors, and protection of national security information, but also compliance with U.S. Government obligations under international agreements – such as challenge inspection under the CWC since any DON facility, whether in the U.S. or overseas, is potentially subject to a challenge inspection.

Strategic Systems Program's Naval Treaty Implementation Program (NTIP) administers the readiness for CWC implementation and compliance through a centralized approach, including annual Challenge Inspection Training Exercises (CITE) to

evaluate command readiness. In its centralized approach, NTIP makes available to all Commanding Officers, Program Executive Officers, Program Managers, and any other decision authorities, a team of treaty subject matter experts and support personnel. Additionally, NTIP has produced several videos addressing focus topics such as Escorting, Self-monitoring, Facility Preparations, Interviews, and the Inspection Planning Staff, that assist Commanders in executing and hosting challenge inspections, a key tenet of which is providing as much access as legally permissible while protecting any national security interests. NTIP maintains this information on a web site at [www.nawcwpns.navy.mil/~treaty/CWC.html](http://www.nawcwpns.navy.mil/~treaty/CWC.html) where Commanders and their staffs can avail themselves of a spectrum of additional information on CWC.

Questions regarding CWC challenge inspections or DON's approach to CWC implementation and compliance can be directed to NTIP at DSN 764-0910, commercial 703-764-0910, or email at [NTIP@ssp.navy.mil](mailto:NTIP@ssp.navy.mil).

## **BWC Confidence Building Measures (CBM) Submission for FY04**

The Naval Treaty Implementation Program coordinated a Navy-wide data call, during the 2<sup>nd</sup> Qtr FY05, in support of a program review of national research and development bio-defense programs. For DoD reporting, each facility that is conducting any bio-defense research and development efforts, work on countermeasures, or any work with Biological Weapons (BW) simulants was required to provide a data submittal.

This data call is in response to a Deputy Assistant Secretary of Defense for Chemical Demilitarization and Threat Reduction (DATSD (CD&TR)) annual request. IAW SECNAVINST 5710.23C, the Department is to ensure compliance with all arms control agreement obligations of the U.S. government, in this case, compliance with the Biological Weapons Convention.

The Director, Strategic Systems Programs, and the Naval Treaty Implementation Program extend a hearty "Thank You!" to each activity that participated in this data call. Please direct questions regarding BWC CBMs to NTIP at 202-764-0910 (DSN 764), or e-mail: [NTIP@ssp.navy.mil](mailto:NTIP@ssp.navy.mil).



## **Further Reading**

**Terrorists Likely to Conduct Biological Attack by 2020, U.S. Intelligence Report Warns** A terrorist group is “likely” to conduct an attack using biological weapons by 2020, according to a [report](#) released yesterday by a CIA think-tank (see [GSN](#), Jan. 3).

[nti.org/d\\_newswire/issues/2005/1/14/9f0cdf24-cbf8-4f18-82e2-a1d196ad3d71.html](http://nti.org/d_newswire/issues/2005/1/14/9f0cdf24-cbf8-4f18-82e2-a1d196ad3d71.html)

## **U.S. Compliance With Article VI of the Non-Proliferation Treaty (NPT)**

Assistant Secretary Rademaker spoke at a panel discussion at the Arms Control Association on U.S. compliance with Article VI of the Non-Proliferation Treaty (NPT).

[www.state.gov/t/ac/rls/rm/41786.htm](http://www.state.gov/t/ac/rls/rm/41786.htm)

## **Websites**



The appearance of hyperlinks in this newsletter does not constitute endorsement by the Department of the Navy (DON) of the web sites or the information, products or services contained therein and DON does not

exercise any editorial control over the information you may find at these locations.

## **Nonproliferation Organizations**

Nonproliferation Policy Education Center –

[www.npec-web.org/](http://www.npec-web.org/)

Monterrey Institute of International Studies: Center for Nonproliferation Studies –

[cns.miis.edu/](http://cns.miis.edu/)

Nuclear Control Institutes –

<http://www.nci.org/index.htm>

## **Treaties and Guidance**

2005 Campaign to Strengthen the NPT –

[www.npt2005.org/](http://www.npt2005.org/)

Australia Group Home Page –

[www.australiagroup.net/index\\_en.htm](http://www.australiagroup.net/index_en.htm)

Defense Threat Reduction Agency Fact Sheets –

[www.dtra.mil/press\\_resources/fact\\_sheets/index.cfm](http://www.dtra.mil/press_resources/fact_sheets/index.cfm)

Transparency in Armaments, the UN Register of Conventional Arms –

[disarmament.un.org:8080/cab/register.html](http://disarmament.un.org:8080/cab/register.html)

Small Arms Light Weapons –

[disarmament.un.org:8080/cab/salw.html](http://disarmament.un.org:8080/cab/salw.html)

## **Project Management**

DoD 5000 Resource Center

[akss.dau.mil/dag/DoD5000.asp?view=document&doc=1](http://akss.dau.mil/dag/DoD5000.asp?view=document&doc=1)

USD AT&L Procurement and Acquisition Policy

[www.acq.osd.mil/dpap/dfars/](http://www.acq.osd.mil/dpap/dfars/)

Defense Acquisition University Knowledge Sharing System

[akss.dau.mil/jsp/default.jsp](http://akss.dau.mil/jsp/default.jsp)

## **News Sources**

Links to Several Different Types of News Sources

[www.govspot.com/news/government.htm](http://www.govspot.com/news/government.htm) - world

Thousands of Newspapers on the Web

[www.onlinenewspapers.com/](http://www.onlinenewspapers.com/)

## **Acronym List**

**ACTD** - Advanced Concept Technology Demonstration

**ACCA** – Arms Control Compliance Assessment

**AP** – Additional Protocol

**CBM** – Confidence Building Measure

**CITE** – Challenge Inspection Training Exercise

**MANPADs** – Man Portable Air Defense Systems

## **E-mail Addresses**



In order to e-mail you and your command this newsletter and other pertinent information regarding treaty compliance and implementation, please e-mail our office with the following information:

- Command Name
- Official Command E-mail Address
- Commanding Officer Name
- Commanding Officer Phone Number
- Treaty Point-of-Contact (POC) Name
- POC Official Business E-mail Address
- POC Phone Number

You can also contact us at 301-744-4206 (DSN 354), or e-mail [TSOTreaty@ih.navy.mil](mailto:TSOTreaty@ih.navy.mil).

## **Feedback**



How are we doing? Is there something you would like to see in the next issue? We appreciate any comments or suggestions you may have.

E-Mail: [ntip@ssp.navy.mil](mailto:ntip@ssp.navy.mil)

Phone: 202-764-0910

DSN 764

Fax: 202-764-0918

**Name and Address**

|                     |   |
|---------------------|---|
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| <b>PLAD</b>         | NAVARMSCONTROLCOORD WASHINGTON DC   |
| <b>NTIP Website</b> | <a href="http://www.nawcwpns.navy.mil/~treaty/">www.nawcwpns.navy.mil/~treaty/</a>  |
| <b>E-Mail</b>       | <a href="mailto:NTIP@ssp.navy.mil">NTIP@ssp.navy.mil</a>  |